

RHONDDA CYNON TAF COUNTY BOROUGH COUNCIL

MUNICIPAL YEAR 2015/16

COMMITTEE:

AUDIT COMMITTEE

31st March 2016

| |
|----------------------------|
| Item No. 5 |
| Internal Audit Performance |

REPORT OF:-

GROUP DIRECTOR, CORPORATE & FRONTLINE SERVICES

Author: Marc Crumbie (Operational Audit Manager)

(01443) 680779

1. PURPOSE OF THE REPORT

This report provides Members with an update on the progress made by the Internal Audit Service in delivering the Audit Plan 2015/16, together with the performance of the Service up to the 11th March 2016.

2. RECOMMENDATIONS

It is recommended that Members:

- 2.1 Note the contents of this Report.
- 2.2 Consider what comments and recommendations, if any, they wish to make.

3. BACKGROUND

- 3.1 As part of an ongoing commitment to provide Members with regular updates, this report provides details regarding the performance of the Internal Audit Service between 1st April 2015 and 11th March 2016.
- 3.2 The audit plan is provided at **Appendix 1** and includes the status of each planned audit review, the quarter in which the audit is/was planned to commence and incorporates the detailed performance information for each Internal Audit review. **Appendix 2** provides a summary of the status of all recommendations made by the Internal Audit Service, grouped under the relevant service of the Council.
- 3.3 At 11th March 2016, 70% of the Audit Plan (**Aud.1**) had been completed to report stage.

- 3.4 Within the Internal Audit plan for 2015/16 we planned to undertake and complete a total of 103 audit reviews. The percentage of the audit plan completed to report stage as at the 11th March 2016 was 70%, which represents 72 reviews. Due to the close proximity of this report to the end of the financial year and also linked to item 6 of the Committee's agenda (draft audit plan for 2016/17), the status for the 31 remaining audit reviews is provided as follows:

16 planned reviews are anticipated to be completed to draft report stage by the end of March 2016

| AUDIT NAME | PRIORITY |
|----------------------------------|----------|
| MANAGEMENT OF RECYCLATE | High |
| ADMINISTRATION OF TRUST FUNDS | Low |
| PAYROLL | High |
| INCOME, DEBTORS & DEBT RECOVERY | High |
| HOUSING BENEFITS | High |
| COUNCIL TAX | High |
| ATTENDANCE | High |
| DCELLS | High |
| BUDGETARY CONTROL | High |
| YGG YNYSWEN | Medium |
| CEFN PRIMARY SCHOOL | Medium |
| LLANTRISANT PRIMARY SCHOOL | Medium |
| YGG LLWYNCELYN | Medium |
| YGG PONT SION NORTON | Medium |
| SUBSTANCE MISUSE | High |
| SEXUAL ABUSE & DOMESTIC VIOLENCE | High |

12 planned audits are proposed to be carried forward into the 2016/17 audit plan

| AUDIT NAME | PRIORITY |
|---|----------|
| ABERDARE COMMUNITY SCHOOL | High |
| TONYPANDY COMMUNITY COLLEGE | High |
| YSGOL GYFUN Y CYMER | High |
| PONTYPRIDD HIGH SCHOOL | High |
| VIBRANT AND VIABLE PLACES | High |
| MASH - ADULT'S SERVICES | High |
| CHILDREN'S SERVICES - REVISED OPERATING MODEL | High |
| MASH - CHILDREN'S SERVICES | High |
| HOMELESSNESS - COMMUNITY HOUSING REGISTER | High |
| CAPITAL ACCOUNTING & ASSET REGISTER | High |
| WASTE MANAGEMENT | High |
| FRAMEWORK FOR EXCELLENCE IN TEACHING | High |

3 planned audits are proposed not be completed or carried forward into 2016/17

| AUDIT NAME | PRIORITY |
|--|-----------------|
| YNYSWEN INFANTS SCHOOL | Medium |
| INDEPENDENT RESIDENTIAL ESTABLISHMENTS | Medium |
| CRIMINAL REMEDY ORDERS | Medium |

- 3.5 Taking all of the above into consideration I anticipate that the Internal Audit Service will deliver 85% of the audit plan by the end of the financial year 2015/16. The reasons for proposing to cancel the 3 planned audits will be included in the Internal Audit Annual Report for 2015/16. This is scheduled to be reported to Committee in May 2016.

4. SUMMARY

- 4.1 It is projected that 85% of the 2015/16 audit plan will be completed by year end.
- 4.2 The findings from this programme of work will aim to support the Audit Committee in forming an opinion on the overall control environment that operated within the Council between April 2015 and March 2016.

LOCAL GOVERNMENT ACT, 1972
as amended by
THE ACCESS TO INFORMATION ACT, 1985
RHONDDA CYNON TAF COUNTY BOROUGH COUNCIL
LIST OF BACKGROUND PAPERS
AUDIT COMMITTEE
31st March 2016

Report of the Group Director, Corporate & Frontline Services

Author: Marc Crumbie (Operational Audit Manager).

| Item | File Ref: |
|-------------------------------|--|
| 5. Internal Audit Performance | IA / MC |
| Contact Officer: | Marc Crumbie, Operational Audit Manager Bronwydd House Porth CF39 9DL Tel. No. (01443) 680779 |

APPENDIX 1

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|--|----------|-------------|---------------------|-----------------|------------------|--------------------------|---|----------------------------|-----------------------------------|--------------------|--|
| CHIEF EXECUTIVE | | | | | | | | | | | |
| CABINET OFFICE & PUBLIC RELATIONS | | | | | | | | | | | |
| HERITAGE SERVICE | Medium | QTR 2 | Final Report Issued | 02/11/2015 | 19/11/2015 | 16/12/2015 | 27 | 29/02/2016 | 75 | 01/03/2016 | 1 |
| THEATRES | Medium | QTR 2 | Final Report Issued | 05/08/2015 | 13/08/2015 | 19/08/2015 | 6 | 27/08/2015 | 8 | 02/09/2015 | 6 |
| HUMAN RESOURCES | | | | | | | | | | | |
| SICKNESS ABSENCE POLICIES AND PROCEDURES | High | QTR 1 | Final Report Issued | 02/06/2015 | 13/07/2015 | 21/07/2015 | 8 | 24/08/2015 | 34 | 01/09/2015 | 8 |
| REGENERATION & PLANNING | | | | | | | | | | | |
| VIBRANT AND VIABLE PLACES | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| LEGAL AND DEMOCRATIC SERVICES | | | | | | | | | | | |
| ELECTORAL REGISTRATION SERVICES | Medium | QTR 4 | Final Report Issued | 19/01/2016 | 28/01/2016 | 02/02/2016 | 5 | 22/02/2016 | 20 | 23/02/2016 | 1 |
| COMMUNITY & CHILDREN'S SERVICES | | | | | | | | | | | |
| ADULT LOCALITY SERVICES & SHORT TERM INTERVENTION | | | | | | | | | | | |
| INDEPENDENT RESIDENTIAL ESTABLISHMENTS | Medium | QTR 2 | Planned | | | | 0 | | 0 | | 0 |
| DIRECT PAYMENTS | High | QTR 2 | Draft Report Issued | 05/08/2015 | 27/08/2015 | 04/09/2015 | 8 | | 0 | | 0 |
| MASH - ADULT'S SERVICES | High | QTR 3 | Planned | | | | 0 | | 0 | | 0 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|--|----------|-------------|------------------------|-----------------|------------------|--------------------------|--|----------------------------------|---|--------------------------|---|
| CHILDREN'S SERVICES | | | | | | | | | | | |
| CHILDREN'S SERVICES - REVISED OPERATING MODEL | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| MASH - CHILDREN'S SERVICES | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| PREVENTION SERVICES | High | QTR 2 | Final Report Issued | 24/08/2015 | 15/12/2015 | 06/01/2016 | 22 | 08/03/2016 | 62 | 09/03/2016 | 1 |
| DIRECT SERVICES, BUSINESS & HOUSING | | | | | | | | | | | |
| COMMUNITIES FIRST | High | QTR 2 | Draft Report Issued | 12/10/2015 | 06/11/2015 | 17/11/2015 | 11 | | 0 | | 0 |
| HOMELESSNESS - COMMUNITY HOUSING REGISTER | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| RESIDENTIAL CARE | Medium | QTR 1 | Final Report Issued | 09/07/2015 | 16/10/2015 | 19/10/2015 | 3 | 18/01/2016 | 91 | 19/01/2016 | 1 |
| COMMUNITY EQUIPMENT SERVICE | Medium | QTR 2 | Final Report Issued | 07/09/2015 | 23/11/2015 | 25/11/2015 | 2 | 08/12/2015 | 13 | 09/12/2015 | 1 |
| PUBLIC HEALTH AND PROTECTION | | | | | | | | | | | |
| LLWYDCOED CREMATORIUM | Low | QTR 2 | Final Report Issued | 28/07/2015 | 31/07/2015 | 17/08/2015 | 17 | 01/09/2015 | 15 | 02/09/2015 | 1 |
| REGISTRATION SERVICES | Medium | QTR 3 | Final Report Issued | 26/11/2015 | 14/12/2015 | 23/12/2015 | 9 | 08/02/2016 | 47 | 09/02/2016 | 1 |
| CRIMINAL REMEDY ORDERS | Medium | QTR 3 | Planned | | | | 0 | | 0 | | 0 |
| SUBSTANCE MISUSE | High | QTR 3 | Fieldwork Complete | 09/03/2016 | 10/03/2016 | | 0 | | 0 | | 0 |
| SEXUAL ABUSE & DOMESTIC VIOLENCE | High | QTR 4 | Fieldwork Complete | 09/03/2016 | 10/03/2016 | | 0 | | 0 | | 0 |
| LEISURE ESTABLISHMENT SYSTEMS REVIEW | Medium | QTR 2 | Final Report Issued | 25/08/2015 | 29/09/2015 | 05/10/2015 | 6 | 22/10/2015 | 17 | 06/11/2015 | 15 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|--|----------|-------------|---------------------|-----------------|------------------|--------------------------|---|----------------------------|-----------------------------------|--------------------|--|
| CORPORATE & FRONTLINE SERVICES | | | | | | | | | | | |
| CORPORATE ESTATES | | | | | | | | | | | |
| ENERGY MANAGEMENT | High | QTR 2 | Final Report Issued | 14/09/2015 | 28/09/2015 | 28/09/2015 | 0 | 03/11/2015 | 36 | 04/11/2015 | 1 |
| BUILDING MAINTENANCE | High | QTR 2 | Final Report Issued | 14/07/2015 | 24/07/2015 | 21/09/2015 | 59 | 08/10/2015 | 17 | 08/10/2015 | 0 |
| CUSTOMER CARE & IT | | | | | | | | | | | |
| MANAGEMENT AND CONTROL OF I.T ASSETS | High | QTR 2 | Final Report Issued | 01/09/2015 | 01/12/2015 | 02/12/2015 | 1 | 22/12/2015 | 20 | 05/01/2016 | 14 |
| FINANCIAL SERVICES | | | | | | | | | | | |
| BUDGETARY CONTROL | High | QTR 3 | In Progress | | | | 0 | | 0 | | 0 |
| GENERAL LEDGER & ACCOUNTING | Low | QTR 3 | Final Report Issued | 27/11/2015 | 07/12/2015 | 14/12/2015 | 7 | 09/02/2016 | 57 | 09/02/2016 | 0 |
| TAXATION | High | QTR 3 | Draft Report Issued | 15/12/2015 | 14/01/2016 | 21/01/2016 | 7 | | 0 | | 0 |
| CAPITAL ACCOUNTING & ASSET REGISTER | High | QTR 3 | Planned | | | | 0 | | 0 | | 0 |
| ADMINISTRATION OF TRUST FUNDS | Low | QTR 3 | In Progress | 01/03/2016 | | | 0 | | 0 | | 0 |
| TREASURY MANAGEMENT | Medium | QTR 3 | Final Report Issued | 04/11/2015 | 13/11/2015 | 18/11/2015 | 5 | 18/11/2015 | 0 | 19/11/2015 | 1 |
| PAYROLL | High | QTR 3 | In Progress | 04/11/2015 | | | 0 | | 0 | | 0 |
| CREDITORS | High | QTR 3 | Draft Report Issued | 14/12/2015 | 29/01/2016 | 03/02/2016 | 5 | | 0 | | 0 |
| PENSIONS | Medium | QTR 3 | Draft Report Issued | 10/02/2016 | 03/03/2016 | 04/03/2016 | 1 | | 0 | | 0 |
| INCOME, DEBTORS & DEBT RECOVERY | High | QTR 3 | In Progress | 01/03/2016 | | | 0 | | 0 | | 0 |
| HOUSING BENEFITS | High | QTR 3 | In Progress | 25/01/2016 | | | 0 | | 0 | | 0 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|---|----------|-------------|------------------------|-----------------|------------------|--------------------------|---|----------------------------|-----------------------------------|--------------------|--|
| COUNCIL TAX | High | QTR 3 | In Progress | 25/02/2016 | | | 0 | | 0 | | 0 |
| NDR | High | QTR 3 | Final Report Issued | 16/02/2016 | 23/02/2016 | 29/02/2016 | 6 | 29/02/2016 | 0 | 01/03/2016 | 1 |
| FRONTLINE SERVICES - HIGHWAYS AND STREETCARE | | | | | | | | | | | |
| WASTE MANAGEMENT | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| FLEET MANAGEMENT AND MAINTENANCE | High | QTR 1 | Final Report Issued | 01/06/2015 | 14/07/2015 | 16/07/2015 | 2 | 13/08/2015 | 28 | 19/08/2015 | 6 |
| HIGHWAYS MAINTENANCE | High | QTR 2 | Final Report Issued | 14/10/2015 | 27/11/2015 | 30/11/2015 | 3 | 24/02/2016 | 86 | 25/02/2016 | 1 |
| RECYCLING - AWARENESS AND ENFORCEMENT | High | QTR 2 | Final Report Issued | 03/08/2015 | 02/10/2015 | 06/10/2015 | 4 | 26/10/2015 | 20 | 27/10/2015 | 1 |
| GRANT CLAIMS | | | | | | | | | | | |
| GRANT CLAIMS 2015/16 | Low | N/A | Final Report(s) Issued | N/A | N/A | N/A | 0 | N/A | 0 | N/A | 0 |
| EDUCATION & LIFELONG LEARNING | | | | | | | | | | | |
| ACCESS & ENGAGEMENT & INCLUSION | | | | | | | | | | | |
| EDUCATION OTHER THAN AT SCHOOL | High | QTR 1 | Final Report Issued | 02/06/2015 | 26/06/2015 | 30/06/2015 | 4 | 07/07/2015 | 7 | 07/07/2015 | 0 |
| ATTENDANCE | High | QTR 4 | In Progress | 01/02/2016 | | | 0 | | 0 | | 0 |
| SCHOOLS & COMMUNITY | | | | | | | | | | | |
| DCELLS | High | QTR 3 | In Progress | 01/02/2016 | | | 0 | | 0 | | 0 |
| SAFEGUARDING DBS CHECKS | High | QTR 2 | Final Report Issued | 01/09/2015 | 22/09/2015 | 23/09/2015 | 1 | 24/09/2015 | 1 | 24/09/2015 | 0 |
| DATA MANAGEMENT | High | QTR 1 | Final Report Issued | 01/07/2015 | 01/09/2015 | 24/09/2015 | 23 | 17/02/2016 | 146 | 25/02/2016 | 8 |
| FRAMEWORK FOR EXCELLENCE IN TEACHING | High | QTR 4 | In Progress | | | | 0 | | 0 | | 0 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|--|----------|-------------|---------------------|-----------------|------------------|--------------------------|--|----------------------------------|---|--------------------------|---|
| EMPLOYMENT STATUS | High | QTR 1 | Final Report Issued | 20/05/2015 | 07/10/2015 | 07/10/2015 | 0 | 16/11/2015 | 40 | 17/11/2015 | 1 |
| PRIMARY SCHOOLS | | | | | | | | | | | |
| ALAW PRIMARY SCHOOL | Medium | QTR 3 | Final Report Issued | 01/10/2015 | 01/10/2015 | 07/10/2015 | 6 | 21/10/2015 | 14 | 22/10/2015 | 1 |
| CILFYNYDD PRIMARY SCHOOL | Medium | QTR 3 | Final Report Issued | 21/10/2015 | 21/10/2015 | 05/11/2015 | 15 | 06/11/2015 | 1 | 06/11/2015 | 0 |
| COEDPENMAEN PRIMARY SCHOOL | Medium | QTR 3 | Final Report Issued | 24/09/2015 | 25/09/2015 | 29/09/2015 | 4 | 22/10/2015 | 23 | 23/10/2015 | 1 |
| CRAIG YR HESG PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 27/04/2015 | 30/04/2015 | 14/05/2015 | 14 | 23/06/2015 | 40 | 23/06/2015 | 0 |
| CWMAMAN INFANTS SCHOOL | Medium | QTR 3 | Final Report Issued | 07/10/2015 | 08/10/2015 | 13/10/2015 | 5 | 22/10/2015 | 9 | 23/10/2015 | 1 |
| CYMMER JUNIOR SCHOOL | Medium | QTR 3 | Final Report Issued | 11/11/2015 | 11/11/2015 | 25/11/2015 | 14 | 16/12/2015 | 21 | 17/12/2015 | 1 |
| DOLAU PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 07/05/2015 | 18/05/2015 | 21/05/2015 | 3 | 04/06/2015 | 14 | 04/06/2015 | 0 |
| FFYNON TAF PRIMARY SCHOOL | Medium | QTR 3 | Final Report Issued | 12/11/2015 | 13/11/2015 | 23/11/2015 | 10 | 17/12/2015 | 24 | 18/12/2015 | 1 |
| LLANHARAN PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 20/04/2015 | 30/04/2015 | 06/05/2015 | 6 | 03/06/2015 | 28 | 05/06/2015 | 2 |
| MAERDY COMMUNITY PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 15/05/2015 | 15/05/2015 | 03/06/2015 | 19 | 05/06/2015 | 2 | 05/06/2015 | 0 |
| MAES Y COED PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 08/06/2015 | 30/06/2015 | 07/07/2015 | 7 | 29/09/2015 | 84 | 30/09/2015 | 1 |
| MAES Y BRYN PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 25/06/2015 | 03/07/2015 | 15/07/2015 | 12 | 07/10/2015 | 84 | 08/10/2015 | 1 |
| PENDERYN COMMUNITY PRIMARY SCHOOL | Medium | QTR 2 | Final Report Issued | 13/07/2015 | 16/07/2015 | 31/07/2015 | 15 | 29/09/2015 | 60 | 30/09/2015 | 1 |
| PENGEULAN PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 18/06/2015 | 03/07/2015 | 08/07/2015 | 5 | 14/09/2015 | 68 | 15/09/2015 | 1 |
| PENYGRAIG INFANTS SCHOOL | Medium | QTR 1 | Final Report Issued | 23/04/2015 | 12/05/2015 | 14/05/2015 | 2 | 10/06/2015 | 27 | 10/06/2015 | 0 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|--|----------|-------------|---------------------|-----------------|------------------|--------------------------|--|----------------------------------|---|--------------------------|---|
| PENYGRAIG JUNIOR SCHOOL | Medium | QTR 1 | Final Report Issued | 01/05/2015 | 05/05/2015 | 20/05/2015 | 15 | 26/06/2015 | 37 | 26/06/2015 | 0 |
| PENYRENGLYN COMMUNITY PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 11/06/2015 | 24/06/2015 | 30/06/2015 | 6 | 16/07/2015 | 16 | 16/07/2015 | 0 |
| PONTYCLUN PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 03/06/2015 | 10/06/2015 | 24/06/2015 | 14 | 23/10/2015 | 121 | 30/10/2015 | 7 |
| ST MARGARET'S CATHOLIC PRIMARY SCHOOL | Medium | QTR 3 | Final Report Issued | 18/11/2015 | 04/12/2015 | 04/12/2015 | 0 | 18/12/2015 | 14 | 22/12/2015 | 4 |
| TYLORSTOWN PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 03/06/2015 | 22/06/2015 | 25/06/2015 | 3 | 01/07/2015 | 6 | 03/07/2015 | 2 |
| YNYSWEN INFANTS SCHOOL | Medium | QTR 3 | Planned | | | | 0 | | 0 | | 0 |
| YGG BODRINGALLT | Medium | QTR 1 | Final Report Issued | 30/04/2015 | 08/05/2015 | 09/06/2015 | 32 | 17/06/2015 | 8 | 23/06/2015 | 6 |
| YGG EVAN JAMES | Medium | QTR 3 | Final Report Issued | 02/12/2015 | 02/12/2015 | 18/12/2015 | 16 | 29/02/2016 | 73 | 29/02/2016 | 0 |
| YGG LLYN Y FORWYN | Medium | QTR 3 | Final Report Issued | 19/11/2015 | 04/12/2015 | 09/12/2015 | 5 | 03/02/2016 | 56 | 04/02/2016 | 1 |
| YGG TONYREFAIL | Medium | QTR 1 | Final Report Issued | 11/06/2015 | 12/06/2015 | 24/06/2015 | 12 | 17/07/2015 | 23 | 24/07/2015 | 7 |
| YGG YNYSWEN | Medium | QTR 3 | In Progress | 08/03/2016 | | | 0 | | 0 | | 0 |
| YSGOL YR EOS | Medium | QTR 3 | Final Report Issued | 25/11/2015 | 26/11/2015 | 09/12/2015 | 13 | 16/12/2015 | 7 | 17/12/2015 | 1 |
| CEFN PRIMARY SCHOOL | Medium | QTR 3 | In Progress | | | | 0 | | 0 | | 0 |
| LLANTRISANT PRIMARY SCHOOL | Medium | QTR 3 | In Progress | | | | 0 | | 0 | | 0 |
| YNYSHIR PRIMARY SCHOOL | Medium | QTR 1 | Final Report Issued | 28/04/2015 | 05/05/2015 | 15/05/2015 | 10 | 09/06/2015 | 25 | 10/06/2015 | 1 |
| YGG LLWYNCELYN | Medium | QTR 3 | In Progress | | | | 0 | | 0 | | 0 |
| YGG PONT SION NORTON | Medium | QTR 3 | In Progress | | | | 0 | | 0 | | 0 |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|---|----------|-------------|---------------------|-----------------|------------------|--------------------------|---|----------------------------|-----------------------------------|--------------------|--|
| S.E.N. SCHOOLS | | | | | | | | | | | |
| MAESGWYN SCHOOL | High | QTR 1 | Final Report Issued | 24/06/2015 | 24/06/2015 | 29/06/2015 | 5 | 07/09/2015 | 70 | 08/09/2015 | 1 |
| SECONDARY SCHOOLS | | | | | | | | | | | |
| ABERDARE COMMUNITY SCHOOL | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| YG RHYDYWAUN | High | QTR 1 | Final Report Issued | 23/06/2015 | 03/07/2015 | 08/07/2015 | 5 | 27/07/2015 | 19 | 27/07/2015 | 0 |
| MOUNTAIN ASH COMPREHENSIVE SCHOOL | High | QTR 4 | Draft Report Issued | 18/01/2016 | 25/01/2016 | 29/02/2016 | 35 | | 0 | | 0 |
| TREORCHY COMPREHENSIVE SCHOOL | High | QTR 4 | Final Report Issued | 12/01/2016 | 18/02/2016 | 25/02/2016 | 7 | 08/03/2016 | 12 | 08/03/2016 | 0 |
| TONYPANDY COMMUNITY COLLEGE | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| YSGOL GYFUN Y CYMER | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| PONTYPRIDD HIGH SCHOOL | High | QTR 4 | Planned | | | | 0 | | 0 | | 0 |
| BRYN CELYNNOG COMPREHENSIVE SCHOOL | High | QTR 2 | Final Report Issued | 08/09/2015 | 15/09/2015 | 24/09/2015 | 9 | 05/10/2015 | 11 | 06/10/2015 | 1 |
| ST JOHN BAPTIST CIW HIGH SCHOOL | High | QTR 1 | Final Report Issued | 08/07/2015 | 12/11/2015 | 13/11/2015 | 1 | 20/11/2015 | 7 | 16/12/2015 | 26 |
| CARDINAL NEWMAN RC COMPREHENSIVE | High | QTR 4 | Draft Report Issued | 10/02/2016 | 11/02/2016 | 07/03/2016 | 25 | | 0 | | 0 |
| SMALL GRANT CLAIMS | | | | | | | | | | | |
| POST 16 GRANT | High | QTR 4 | Final Report Issued | 22/01/2016 | 22/01/2016 | 22/01/2016 | 0 | 22/01/2016 | 0 | 22/01/2016 | 0 |
| WHOLE AUTHORITY ARRANGEMENTS | | | | | | | | | | | |
| PERFORMANCE INDICATORS | High | QTR 1 | Final Report Issued | 11/08/2015 | 04/09/2015 | 11/09/2015 | 7 | 14/10/2015 | 33 | 15/10/2015 | 1 |
| AMGEN | | | | | | | | | | | |

| | Priority | Planned qtr | Status | Start Fieldwork | Finish Fieldwork | Date Draft Report Issued | Total Days: End of Fieldwork to Issue of Draft Report | Date: Management Responses | Timeliness of Management Response | Date: Final Report | Days between Management response to issuing final report |
|---|----------|-------------|---------------------|-----------------|------------------|--------------------------|--|----------------------------------|---|--------------------------|---|
| CREDITORS | Medium | QTR 3 | Final Report Issued | 01/12/2015 | 15/12/2015 | 16/12/2015 | 1 | 22/12/2015 | 6 | 23/12/2015 | 1 |
| DEBTORS | Medium | QTR 3 | Final Report Issued | 17/12/2015 | 23/12/2015 | 06/01/2016 | 14 | 06/01/2016 | 0 | 13/01/2016 | 7 |
| PAYROLL | Medium | QTR 3 | Final Report Issued | 20/01/2016 | 02/02/2016 | 04/02/2016 | 2 | 09/02/2016 | 5 | 09/02/2016 | 0 |
| GENERAL LEDGER | Low | QTR 3 | Final Report Issued | 18/01/2016 | 18/01/2016 | 28/01/2016 | 10 | 02/02/2016 | 5 | 03/02/2016 | 1 |
| MANAGEMENT OF RECYCLATE | High | QTR 2 | In Progress | | | | 0 | | 0 | | 0 |
| CENTRAL SOUTH CONSORTIUM JOINT EDUCATION SERVICE | | | | | | | | | | | |
| CSC - PAYROLL | Medium | QTR 3 | Final Report Issued | 02/12/2015 | 10/12/2015 | 17/12/2015 | 7 | 21/12/2015 | 4 | 22/12/2015 | 1 |
| CSC - CREDITORS | Medium | QTR 3 | Final Report Issued | 02/11/2015 | 19/11/2015 | 27/11/2015 | 8 | 22/01/2016 | 56 | 25/01/2016 | 3 |
| CSC - DEBTORS | Medium | QTR 3 | Final Report Issued | 20/11/2015 | 27/11/2015 | 03/12/2015 | 6 | 22/12/2015 | 19 | 22/12/2015 | 0 |
| CSC - GENERAL LEDGER | Low | QTR 3 | Final Report Issued | 02/11/2015 | 19/11/2015 | 26/11/2015 | 7 | 22/12/2015 | 26 | 22/12/2015 | 0 |

APPENDIX 2**STATUS SUMMARY OF AGREED RECOMMENDATIONS****CHIEF EXECUTIVE**

| Number of HIGH Recs | STATUS | | |
|------------------------------|---------------|-----------------------|---------------------------|
| 2 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 2 | 0 | 0 |
| Number of MEDIUM Recs | STATUS | | |
| 19 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 18 | 0 | 1 |
| Number of LOW Recs | STATUS | | |
| 18 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 18 | 0 | 0 |

COMMUNITY & CHILDREN'S SERVICES

| Number of HIGH Recs | STATUS | | |
|------------------------------|---------------|-----------------------|---------------------------|
| 3 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 0 | 0 | 3 |
| Number of MEDIUM Recs | STATUS | | |
| 23 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 15 | 4 | 4 |
| Number of LOW Recs | STATUS | | |
| 11 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 9 | 0 | 2 |

CORPORATE & FRONTLINE SERVICES

| Number of HIGH Recs | STATUS | | |
|------------------------------|---------------|-----------------------|---------------------------|
| 6 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 4 | 0 | 2 |
| Number of MEDIUM Recs | STATUS | | |
| 17 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 11 | 1 | 5 |
| Number of LOW Recs | STATUS | | |
| 7 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 4 | 0 | 3 |

EDUCATION & LIFELONG LEARNING

| Number of HIGH Recs | STATUS | | |
|------------------------------|---------------|-----------------------|---------------------------|
| 16 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 14 | 0 | 2 |
| Number of MEDIUM Recs | STATUS | | |
| 74 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 71 | 1 | 2 |
| Number of LOW Recs | STATUS | | |
| 113 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 107 | 2 | 4 |

WHOLE AUTHORITY ARRANGEMENTS

| Number of HIGH Recs | STATUS | | |
|------------------------------|---------------|-----------------------|---------------------------|
| 2 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 1 | 0 | 1 |
| Number of MEDIUM Recs | STATUS | | |
| 1 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 0 | 0 | 1 |
| Number of LOW Recs | STATUS | | |
| 0 | Implemented | Overdue / Outstanding | Target Date in the Future |
| | 0 | 0 | 0 |

This page intentionally blank