



RHONDDA CYNON TAF

RHONDDA CYNON TAF COUNTY BOROUGH COUNCIL

STANDARDS COMMITTEE

22 MARCH 2019

PUBLIC SERVICES OMBUDSMAN FOR WALES – CODE OF CONDUCT CASEBOOK

REPORT OF THE MONITORING OFFICER

1. PURPOSE OF REPORT

To receive the Ombudsman's Code of Conduct Casebook (Issues 18 & 19) produced by the Public Services Ombudsman for Wales.

2. RECOMMENDATION

2.1 To note the contents of the Ombudsman's Code of Conduct Casebook (Issues 18 & 19) published by the Public Services Ombudsman for Wales.

3. BACKGROUND

3.1 The Public Services Ombudsman for Wales produces quarterly Code of Conduct casebooks.

3.2 Issues 18 & 19 of the Code of Conduct Casebook are attached as Appendix 1 to the report.

3.3 Members should note that the Casebooks are able to be accessed via the Ombudsman's Website and the following link:

[Code of Conduct Casebooks](#)

LOCAL GOVERNMENT ACT 1972

AS AMENDED BY

THE LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985

STANDARDS COMMITTEE

22 MARCH 2019

REPORT OF MONITORING OFFICER

BACKGROUND PAPERS

Freestanding Matter

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The Code of Conduct Casebook

Issue 18 October 2018

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Introduction

The Public Services Ombudsman for Wales considers complaints that members of local authorities in Wales have broken the Code of Conduct. The Ombudsman investigates such complaints under the provisions of Part III of the Local Government Act 2000 and the relevant Orders made by the National Assembly for Wales under that Act.

Where the Ombudsman decides that a complaint should be investigated, there are four findings, set out under section 69 of the Local Government Act 2000, which the Ombudsman can arrive at:

- (a) that there is no evidence that there has been a breach of the authority's code of conduct;
- (b) that no action needs to be taken in respect of the matters that were subject to the investigation;
- (c) that the matter be referred to the authority's monitoring officer for consideration by the standards committee;
- (d) that the matter be referred to the President of the Adjudication Panel for Wales for adjudication by a tribunal (this generally happens in more serious cases).

In the circumstances of (c) and (d) above, the Ombudsman is required to submit the investigation report to the standards committee or a tribunal of the Adjudication Panel for Wales and it is for them to consider the evidence found by the Ombudsman, together with any defence put forward by the member concerned. It is also for them to determine whether a breach has occurred and, if so, what



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penalty (if any) should be imposed.

The Code of Conduct Casebook contains summaries of reports issued by this office for which the findings were one of the four set out above. However, in reference to (c) and (d) findings, The Code of Conduct Casebook only contains the summaries of those cases for which the hearings by the standards committee or Adjudication Panel for Wales have been concluded and the outcome of the hearing is known. This edition covers July to September 2018.

Case summaries

No evidence of breach

Saltney Town Council – Disclosure and registration of interests

Case number 201707925 – Report issued in July

The Ombudsman investigated a complaint that a Councillor (“the Councillor”) may have breached the Code of Conduct by participating in, and voting on, a decision to award a grant to a charitable organisation of which she was Chair.

The Ombudsman concluded that the Councillor’s position as Chair of the organisation was likely to have given rise to a personal and prejudicial interest and as such the Councillor should not have taken part unless an exemption applied or she had received a dispensation from the relevant standards committee. The investigation found that the Councillor had recognised this and had considered applying for a dispensation. However, she was advised by a County Council Officer that this was not necessary as an exemption at paragraph 12(2)(a)(ii) of the Code applied and she could therefore participate. This exemption applies when the item of business relates to another public body or body exercising functions of a public nature in which the member holds a position of general control or management. The Ombudsman found that the Councillor was therefore acting in good faith on the basis of the advice she had received. He therefore concluded that the evidence did not suggest that she had breached the Code.

Powys County Council – Promotion of equality and respect

Case number 201701865 – Report issued in September

A complaint was received about a behaviour of a member (“the Councillor”), during a shortlisting meeting to discuss the applications for a new Headteacher post.

An investigation was commenced to consider whether the Councillor had breached parts of the Code which concern respect and consideration, bullying and harassment, and disrepute.

The Ombudsman determined that there was no evidence to suggest that the Councillor breached the Code and therefore no action needed to be taken.

Manorbier Community Council – Promotion of equality and respect

Case number 201708037 – Report issued in September

The Ombudsman received a complaint that a Councillor (“the Councillor”) was verbally abusive and bullying to a member of the public during a Community Council meeting.

An investigation was commenced to consider whether the Councillor had breached parts of the Code of Conduct (“the Code”) which concern to treating others with respect and consideration, bullying and harassment, and disrepute.

Five witnesses were interviewed and the consensus was that the Councillor did not say or do anything during the meeting that gave them undue concern. The Ombudsman determined there was no evidence to suggest that the Councillor had breached the Code.

Abertillery & Llanhilleth Community Council – Promotion of equality and respect

Case number 201800122 –Report issued in August

The Ombudsman investigated a complaint that a Councillor (“the Councillor”) may have breached the Code of Conduct by disclosing confidential human resources and financial information.

Having considered the information available to him, the Ombudsman concluded that there was no evidence to suggest that the Councillor had improperly shared any information and, that there was no evidence that a breach of the Code of Conduct had occurred.

No action necessary

Sully and Lavernock Community Council – Promotion of equality and respect

Case Number 201706912 – Report issued in September

The Ombudsman received a complaint that a Member (“the Member”) of Sully and Lavernock Community Council (“the Council”) had breached the Code of Conduct by sending an email to his fellow councillors which was disrespectful and offensive about another councillor.

The Member was interviewed, as were the councillor who was subject of the email and two other members of the Council. At interview, the Member acknowledged that he should not have sent the email and that it was inappropriate. The Member expressed regret for his actions and said that he would not act in that way again. In mitigation, the Member said that relationships within the Council were currently difficult, and he had received a number of critical emails from the councillor concerned. The Member said that he had not intended to copy the email to all the members of the Council, but had done so by accident, when replying to a previous email.

The Ombudsman concluded that it was likely that the Member had breached paragraphs 4(a) and 4(b) of the Code, which require that members should carry out their duties with due regard to equalities issues and must show respect and consideration to others. However, in view of the mitigating factors, the Member’s contrition and his promise not to act in that way again, the Ombudsman concluded that it would not be in the public interest to refer the matter to the standards committee.

Carmarthenshire County Council – Promotion of equality and respect

Case number 201606614 – Report issued in July

The Ombudsman received a complaint that a former member of Carmarthenshire County Council (“the Councillor”) had brought the office of member of the Council into disrepute as a result of behaviour which failed to show respect and consideration for others. The complaint related to the Councillor’s behaviour towards the Council’s Chief Executive and her conduct towards officers of the Council on 2 December 2016. The investigation considered whether the Councillor may have breached paragraphs 4(b), 6(1)(a) and 7(a) of the Code of Conduct.

As the Councillor was not re-elected at the May 2017 election, the Ombudsman considered that the matters were not sufficiently serious for it to be in the public interest to pursue further. The Ombudsman found that no action needed to be taken in respect of the matters investigated.

Clyro Community Council – Disclosure and registration of interests

Case number 201704165 – Report issued in September

The Ombudsman investigated a complaint that a Councillor (“the Councillor”) may have breached

the Code of Conduct by participated in a discussion and voted on a local planning application without declaring an interest. In addition, the Ombudsman investigated whether the Councillor had a closed mind when he attended two Community Council meetings in September and October 2017.

Having considered all the information available to him, the Ombudsman concluded that there was no evidence that the Councillor had a personal interest in the planning application and it therefore followed that he did not have a prejudicial interest. In addition, the Ombudsman concluded that the Councillor was predisposed and not predetermined when he attended the initial meeting and voted. The Ombudsman did not consider that there was sufficient evidence to support a contention that the Councillor was predetermined at the second meeting. There was no evidence that a breach of the Code of Conduct had occurred.

Llay Community Council – Objectivity and propriety

Case number 201702478 – Report issued in July

The Ombudsman received a complaint that during a meeting of Llay Community Council a member (“the Member”) had breached the Code of Conduct. It was alleged that the Member’s behaviour had been disrespectful and, that he had disclosed confidential information. It was also alleged that the Member had used his position to confer a disadvantage on a local resident and failed to declare an interest in the matter. Finally, it was alleged that the member had brought his office of member into disrepute.

Information was sought from the Council and interviews were undertaken with witnesses to the meeting and the member.

The investigation found that, the member had made representation to the Council on this matter on behalf of his constituent. There was no evidence to suggest that the member had used his position to secure disadvantage for the member of the public or, that he had an interest in this matter. The investigation also found that, whilst the member did disclose information during the meeting, it was not of a confidential nature.

The Ombudsman did, however, have some concern about the personal comments the member made about a member of the public while addressing the Council. The comments did not add any value to the Council’s consideration of the matter and were neither appropriate nor necessary.

Under Section 69(4)(b) of the Local Government Act 2000, the Ombudsman found that no action needed to be taken in respect of the matters investigated.

Ceredigion County Council – Disclosure and registration of interests

Case number 201701091 – report issued in July

Mr X complained that an elected member of the Council (“the Councillor”) had breached the

Code of Conduct for members ("the Code") when he attended a meeting that a Council officer had advised him not to attend. Mr X also complained about the Councillor's conduct towards specific persons at the meeting.

The Ombudsman investigated the complaint on the basis that the Councillor may have breached paragraphs 8(a), 4(b), 4(c) and 6(1)(a) of the Code relating to showing respect, bullying behaviour, disrepute and having regard to advice provided by a Council officer.

The Ombudsman did not find any evidence that the Councillor had been advised not to attend the meeting by a Council officer, or that the manner in which he spoke to most of the meeting attendees exceeded the boundaries of professional conduct.

The Ombudsman did find that the Councillor's robust manner had an effect on one individual at the meeting and that the Councillor should have amended his behaviour towards him specifically as he had previously met him and described him as 'nervous'. Whilst the Councillor was reminded to modify his behaviour for his audience, the Ombudsman concluded that, on balance, it was not in the public interest to refer the matter to a Standards Committee or Adjudication Panel for Wales and, therefore, no further action should be taken.

Referred to Standards Committee

Neyland Town Council – Disclosure and registration of interests

Case number 201703026 – Report issued in July

The Ombudsman received a complaint that a member of the council (“the Councillor”) of Neyland Town Council may have used his position improperly by trying to stop a project that the Town Council had already agreed to support. It was alleged that the Councillor had a business interest in the matter.

The Ombudsman obtained relevant information about the matter and interviewed witnesses. The Councillor provided his comments on the complaint at the outset of the investigation but did not respond to a request to be interviewed.

The Ombudsman found that there was evidence to suggest that the Councillor may have breached the Code of Conduct and referred the matter for consideration by the Council’s Standards Committee.

The Standards Committee concluded that the Councillor had breached the Code of Conduct. Accordingly, the Committee decided that the Councillor should be censured.

The decision of the Standards Committee can be found [here](#).

Referred to Adjudication Panel for Wales

Monmouthshire County Council

Case Number: 201604188 - Report issued in December 2017

The Ombudsman received a complaint that a Councillor ("the Councillor") had sent emails to the complainant, when acting in his capacity as a member of the Council, which the complainant considered contained comments which failed to show respect and consideration for members of the LGBT community.

The Ombudsman considered that the comments made were egregious and there was no reason to use such language to obtain the information he required, about the way the Council used its funds or even to express his view. The Ombudsman found that the comments made and the language used may amount to a failure to show respect and consideration for others and that there was evidence suggestive of a breach of paragraph 4(b) of the Code of Conduct.

The Ombudsman referred the matter to the Adjudication Panel for Wales for adjudication by tribunal.

The Tribunal concluded that the Councillor had breached the Code of Conduct. Accordingly, the Tribunal decided that the Councillor should be suspended from the Council for a period of two months.

The decision of the Adjudication Panel for Wales can be found [here.](#)

The Code of Conduct Casebook

Issue 19 February 2019

Introduction

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No evidence of breach

[Blaenau Gwent County Borough Council – Promotion of equality and respect](#)

[Case Number 201707024 – Report issued in October 2018](#)

Ms E complained that a Councillor (“the Councillor”) may have breached the Code of Conduct by setting up a page on Social Media which Ms E said unfairly targeted her business. Ms E also complained that the Councillor had intercepted an email she would not otherwise have been entitled to and shared the content of the email on the Social Media page.

The Ombudsman found that the Councillor set up the Social Media page in her private capacity, there was no reference to her work as a Councillor and it was not in any way associated with the Council. The email referred to had been sent directly to the Councillor. The email was not marked confidential and the Council confirmed that it was the type of information it would share with anyone who made a complaint. The Ombudsman’s finding under section 69(4)(a) of the Local Government Act 2000 was that there was no evidence of a breach of the Code of Conduct.

[Rhondda Cynon Taf County Borough Council - Duty to uphold the law](#)

[Case Number: 201802132 –Report issued in November 2018](#)

Mr C complained that a Councillor (“the Councillor”) breached the Code of Conduct when she signed a police statement indicating she had seen an altercation between Mr B and another person. Mr B said the Councillor retracted the information in court and that were it not for the statement he would not have gone to court.

The Ombudsman found that the statement appeared to have been given in good faith and the account given in court was so similar that it appeared to be the Councillor’s version of events. The Ombudsman’s finding under section 69(4)(a) of the Local Government Act 2000 was that there was no evidence of a breach of the Code of Conduct.

[Beguildy Community Council – Disclosure and registration of interests](#)

[Case Number: 201801874 - Report issued in December 2018](#)

Ms B complained that a Councillor (“the Councillor”) failed to declare and interest at a meeting, when a discussion took place about survey work on damaged drains on land next to land owned by the Councillor. Ms B also said that the Councillor failed to show her respect and consideration.

The Councillor acknowledged a heated discussion with Ms B but said it was not personal and he did not bear Ms B any malice. The Ombudsman found that the matters raised by the Councillor were of legitimate political concern and it is not the Ombudsman’s role to inhibit robust political debate.

Whilst the matter discussed did directly affect the Councillor’s land and therefore might amount to a technical breach of the Code of Conduct the issue was of wider significance to the community and therefore his finding under section 69(4)(b) of the Local Government Act 2000 was that no action needed to be taken in respect of the matters investigated.

[Powys County Council – Accountability and openness](#)

[Case Number: 201706713 - Report issued in December 2018](#)

A complaint was received about the behaviour of a member (“the Councillor”) of Powys County Council (“the Council”) with regard to misleading a local resident (“the Complainant”) when the Councillor commented on raising objections to a planning application. The Complainant said the Councillor was attempting to secure an advantage for the applicant and to deter local residents from objecting.

An investigation was commenced to consider whether the Councillor had breached parts of the Code which concern disrepute and using their position to create an advantage for themselves or another person.

The Ombudsman determined there was no evidence to suggest that the Councillor had breached the Code of Conduct during a telephone conversation with the Complainant.

No action necessary

City and County of Swansea – Duty to uphold the law

Case Number: 201802771 - Report issued November 2018

The Ombudsman investigated a complaint that a Councillor (“the Councillor”) may have breached the Code of Conduct by overclaiming mileage expenses.

Having considered the information available to him, the Ombudsman concluded that whilst there was evidence that the member had incorrectly overclaimed expenses, consideration had to be given to the member’s inexperience and unfamiliarity with the process; the fact that the member had also underclaimed expenses on a number of occasions; the value of the overpayments and, the member’s apology and readiness to repay the overpaid money. In view of the above the Ombudsman found that no further action should be taken.

Powys County Council – Promotion of equality and respect

Case Number: 201706847 – Report issued December 2018

The Ombudsman investigated a complaint that a Councillor (“the Councillor”) may have breached the Code of Conduct by failing to honour an undertaking given to the Adjudication Panel for Wales that he would send a written letter of apology to two colleagues.

Having considered the information available to him, including the Member’s comments that he had written and posted the letters, the Ombudsman concluded that, since the Member had agreed to rewrite and send the letters again, no further action should be taken.

Sully and Lavernock Community Council – promotion of equality and respect

Case Number: 201705246 – Report issued in November 2018

The Ombudsman received a complaint that, during a meeting of Sully and Lavernock Community Council (“the Council”), a member (“the Member”) had breached the Code of Conduct (“the Code”). It was alleged that the Member made ageist and discriminatory comments about a candidate that had applied to be co-opted to the Council.

Information was sought from the Council and interviews were undertaken with witnesses who were at the meeting and the Member.

The investigation found that the Member had made such comments, but that there was no evidence to suggest that his comments had a bearing on the outcome for the candidate. Further to this, the Member had only been elected to the Council for six weeks, the Code had not been explained to him and he had not had any training on its content. Additionally, when interviewed, the Member apologised for the comments, said he would not make such comments again and confirmed that he had since had training on the Code.

Whilst the Ombudsman suggested that the Member consider attending an equality and diversity training course, he concluded that it was not in the public interest to pursue the matter and that under Section 69 (4)(b) of the Local Government Act 2000, no further action needed to be taken in respect of the matters complained about.

Pembrey & Burry Port Town Council – Disclosure and registration of interests

Case Number: 201704860 – Report issued in October 2018

The Ombudsman received a complaint that a Member (“the Member”) of Pembrey & Burry Port Town Council (“the Council”) had breached the Code of Conduct (“the Code”) by taking part in discussions and a vote at two meetings on matters in relation to a former member of Council staff who had recently made a complaint about the Member.

The Member was interviewed, as was the Councillor who submitted the complaint and a further member of the Council. At interview, the Member said he sought advice from the Clerk as to whether he could participate in discussions and he was advised that he could. He also sought his own legal advice on the matter. The Member said that he was unaware that a close personal association could give rise to a personal interest where it related to someone with whom a member may be "in dispute" with. The Member said that at the time he did not feel that he was in dispute with the member of Council staff. However, the Member acknowledged that he would handle similar matters very differently in future.

The Ombudsman concluded that the Member's conduct in respect of both meetings was suggestive of a breach of the paragraphs of the Code of Conduct which require him to make a declaration of interest and leave the room as appropriate. However, in view of the Member's actions being misguided rather than intentional, the Ombudsman concluded that it would not be in the public interest to refer the matter to the standards committee.

[Gwynedd Council - Disclosure and registration of interests](#) [Case Number: 201702769 – Report issued in November 2019](#)

The Ombudsman received a complaint that a Councillor ("the Councillor") had breached the Code of Conduct for members. It was alleged that the Councillor had breached the Code when he failed to declare his beneficial interest in properties registered in his name and stated that he had no beneficial interests in land in the Council area. It was alleged that the Councillor failed to sign and return the Council's declaration of interests form, despite numerous opportunities to do so.

The Ombudsman investigated whether the Councillor's actions amounted to a breach of paragraphs 18.5.1 and 18.4.1(b) of the Gwynedd Code of Conduct. Members must, in all matters, consider whether they have a personal interest, and whether the Code of Conduct requires them to disclose that interest. Members must regard themselves as having a personal interest in any business of the authority if it relates to or is likely to affect any land in which they have a beneficial interest, and which is in the area of their authority. Members must register financial and other interests within 28 days of their election to office by providing written notification of their interests to authority's Monitoring Officer.

As the Councillor was a new member at the time the events took place, it was the first time that the Councillor's conduct had been brought to the Ombudsman's attention and had since correctly completed the declaration of interest form, the Ombudsman decided not to take further action against the Councillor.

[Llandegla Community Council – Integrity](#) [Case Number: 201704189 – Report issued in October 2018](#)

A complaint was received about the behaviour of a member ("the Councillor") of Llandegla Community Council ("the Council") at two Council meetings ("the First Meeting" and "the Second Meeting") and also about his conduct in submitting a funding application without the Council's authority.

An investigation was commenced to consider whether the Councillor had breached parts of the Code which concern respect and consideration, bullying and harassment, and disrepute.

The Ombudsman determined there was no evidence to suggest that the Councillor had breached the Code at the Second Meeting and in respect of the funding application. He found that no action needed to be taken in respect of his behaviour at the First Meeting.

[Guilsfield Community Council – Accountability and openness](#) [Case Number: 201707849- Report issued in December 2018](#)

The Ombudsman investigated a complaint that a member of Guilsfield Community Council ("the Councillor") may have breached the Code of Conduct by misleading members of the public regarding

the Council's consideration of a planning application ("the Application") and in relation to an interest he declared during Council meetings on 21 November and 20 December **2017**.

The Ombudsman concluded that there was no evidence to suggest that the Councillor had advised any member of the public other than in good faith and there was no evidence that he deliberately set out to mislead any member of the public. Consequently, he decided that it was not in the public interest to pursue this issue any further.

The Ombudsman agreed, given that the Councillor had declared an interest in the Application at the two meetings, that he had a personal interest in it. He further considered that a reasonable member of the public, who had knowledge of the interest, would be likely to consider it so significant that it would be likely to prejudice his judgement. The Councillor should, therefore, have withdrawn from the room when the business was being discussed. However, although the Councillor remained present, there was no evidence that he used his position improperly, disrupted the democratic process or influenced the Council's discussion and ultimate decision on the Application. The Ombudsman therefore decided that no action needed to be taken in respect of the matters investigated, although he recommended that the Councillor should consider carefully his duties under the Code of Conduct, particularly the obligation to withdraw from a meeting when an interest is prejudicial, and seek advice if he was unsure of its implications.

Referred to Standards Committee

There are no summaries in relation to this finding.

Referred to Adjudication Panel for Wales

There are no summaries in relation to this finding.