

Work Plan and Timetable April 2015 - March 2016 - Rhondda Cynon Taf County Borough Council	
Wales Audit Office (WAO) Engagement Director and Engagement Lead - Performance Audit	Jane Holownia
WAO Performance Audit Manager	Colin Davies
WAO Performance Audit Lead	Tim Buckle
WAO Engagement Lead - Financial Audit	John Herniman
WAO Finance Audit Manager	Jeremy Saunders
WAO Financial Audit Team Leader	Helen Holt
CSSIW Regional Director	Margaret Rooney
CSSIW Area Manager	Denise Moultrie
Estyn Regional Coordinator and Link Inspector	Ged Kerslake
Estyn Link Inspector	Caroline Rees
13-Oct-15	

Regulatory Work Activity	Output	Due to be completed by	Completed by	Status	WAO Contact	Regulator Contact	Council Contact	Progress Comment
Performance Audit Work								
1. Improvement Audit and Assessment 2015-16								
Improvement Plan audit	Certificate	Jul-15		Completed	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Certificate issued
Assessment of Performance audit	Certificate	Nov-15		Completed	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Certificate issued
Financial management review	Local report	Dec-15		In Progress	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Project Brief issued - fieldwork in progress.
Governance review - Review of decision-making arrangements in relation to service change proposals	Local report	Nov-15		Not started	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Project Brief issued - fieldwork in progress.
Governance review - Review of the Council's strategic approach to asset management	Local report	Sep-15		In Progress	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Report drafted and progressing through QA and clearance.
Performance management review - 'Review of the Council's arrangements for managing improvement	Local report	Jan-16		Not started	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Fieldwork provisionally planned for October/November 2015.
Annual Improvement Report	Annual Improvement Report (AIR)	May-16		Not started	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Projected publication date revised from March 2016 to May 2016.
2016 Audit Plan	Audit Plan	Feb-16		Not started	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	N/A
2. Follow-up work - 2015-16								
Local authority arrangements to support safeguarding of children	Local report	Feb-16		Not started	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Draft project brief to be issued Oct/Nov 2015. Fieldwork provisionally planned for December 2015.
Good Scrutiny? Good Question? Auditor General for Wales improvement study: Scrutiny in Local Government								To be included as part of the National Studies - Recommendations Survey and Evaluation of Councils' arrangements for dealing with Recommendations.
3. Improvement Audit and Assessment 2014-15								
Annual Improvement Report	Annual Improvement Report (AIR)	Mar-15		Completed	Tim Buckle	N/A	Paul Griffiths / Lesley Lawson	Report published.
4. Local Government Studies								
Welfare Reform	Inquiry	Jul-15		PAC (Public Accounts Committee) Inquiry	Nick Selwyn			PAC Inquiry report published
Safeguarding	Report	Jul-15		Published	Huw Rees/Nick Selwyn			Report published 21.7.15
Independence of Older People	Report	Sep-15		Finalised	Nick Selwyn			Report finalised and to be published week commencing 12.10.15
Delivering with less: Leisure Services	Report	Aug-15		Clearance	Nick Selwyn			Report drafted and clearance completed.
Community Safety Partnerships	Report	Jun-16		Fieldwork	Nick Selwyn			Fieldwork commenced; surveys gone live; and documents collated
Strategic approach to income generation and charging	Report	Jul-16		Project set up	Nick Selwyn			Project Brief issued; documents requested; and fieldwork being set up
Council funding of third-sector services	Report	Aug-16		Project set up	Nick Selwyn			PID (Project Implementation Document) signed off; steering group formed; and project methodology and brief being finalised
5. Work of Relevant Inspectors								
Estyn - There is no specific work organised for RCT as a local authority, during this financial year other than our routine termly catch up visits.					N/A	Ged Kerslake		

CSSIW - • Adult safeguarding	• Follow up work from site visit in 2014-15. Currently monitoring, further site work/inspection to be determined following meeting in January 2016.	• March 2016		Site work (if major concerns persist, this will be escalated to an inspection)	N/A	Denise Moultrie	Neil Elliot/Giovanni Isingrini	The council have produced an action plan and we are receiving updates and meeting with senior management. Progress with quality assurance and process management is being made.
• Other areas of site work to be outlined in annual performance report	• Attendance at Corporate Parenting Board • Meeting with scrutiny chair • Visit to 16+ team • Visits and discussions re local authority support for people with mental health difficulties	• March 2016		Site work		Denise Moultrie	Neil Elliot/ Ann Batley/Giovanni Isingrini	Written feedback will be provided to LA.
• National review and inspection of domiciliary care, learning disabilities and permanency planning for children.	• National Review and inspections – available details provided to LA. RCT NOT selected for fieldwork for learning disabilities or dom care reviews.	• March 2016		National Review and inspection		Nigel Brown	Giovanni Isingrini	
6. Value for Money Studies								
WG investment in next generation broadband infrastructure	Report		May-15	Published	Stephen Martin	N/A		
Governance in NHS Wales	Memorandum for PAC		May-15	Awaiting publication	Carol Moseley	N/A		
GP prescribing	Memorandum for PAC		May-15	Awaiting publication	Dave Thomas	N/A		
Regional Education Consortia	Report		Jun-15	Published	Stephen Martin	N/A		
Orthopaedic services	Report		Jun-15	Published	Anne Beegan	N/A		
Regeneration Investment Fund for Wales	Report		Jul-15	Published	Alastair McQuaid	N/A		
Wales Life Sciences Investment Fund	Report	TBC		Clearance	Mark Jones	N/A		
NHS waiting lists and private practice	Report	Autumn 2015		Drafting	Anne Beegan	N/A		
Rail services	Report	Autumn 2015		Drafting	Andy Phillips	N/A		
WG acquisition and ownership of Cardiff Airport	Report	Autumn 2015		Clearance	Ben Robertson	N/A		
Picture of Public Services	Report	Autumn 2015		Drafting	Mark Jeffs	N/A		
Development of Natural Resources Wales	Report	Autumn 2015		Fieldwork	Alastair McQuaid	N/A		
Welsh Government responses to audit recommendations.	Memorandum for PAC	Winter 2015		Scoping	Steve Ashcroft	N/A		
Coastal flood and erosion risk management	Report	Spring 2016		Fieldwork	Andy Phillips	N/A		
Public procurement and the National Procurement Service	Report	TBC		Scoping	Steve Ashcroft	N/A		
Early intervention and public behaviour change	TBC	TBC		Scoping	Andy Phillips	N/A		
WG interventions in local government	TBC	TBC		Scoping	Alastair McQuaid	N/A		
7. Financial Audit								
Annual Accounts audit 2014/15	Report and certificate	Sep-15		Completed	Jeremy Saunders	N/A	Barrie Davies	Audit work on accounts completed and opinion issued but potential objection response still outstanding
Annual Pension Fund accounts audit 2014/15	Report and certificate	Sep-15		Completed	Jeremy Saunders	N/A	Barrie Davies	Audit work on accounts completed
2014/15 grant claims	Certificates	Jan-16		In Progress	Jeremy Saunders	N/A	Barrie Davies	Some EU claims completed remainder of grants underway
Llwydcoed Crematorium Joint Committee Accounts audit 2014/15	Report and certificate	Sep-15		Completed	Jeremy Saunders	N/A	Barrie Davies	Audit work on accounts completed
CSEC Joint Committee Accounts audit 2014/15	Report and certificate	Sep-15		Completed	Jeremy Saunders	N/A	Barrie Davies	Audit work on accounts completed
Welsh Church Acts accounts 2014/15	Report and certificate	Dec-15		In Progress	Jeremy Saunders	N/A	Barrie Davies	
Annual Audit Letter 2014/15	Report	Nov-15		In Progress	Jeremy Saunders	N/A	Barrie Davies	